

## Mutation semigroup algebras:

05/21/2026.

We work over a field  $\mathbb{K}$ . Let  $\mathbb{F} \supseteq \mathbb{K}$  be a transcendental extension of degree  $n$ .

Definition: A "seed"  $\{(x_1, \dots, x_n), B\}$  is a set of  $n$  elements in  $\mathbb{F}$  algebraically independent over  $\mathbb{K}$ , called "cluster variables" and  $B = \{b_{ij}\}$  a  $n \times n$  skew-symmetric matrix with integral entries called the "exchange matrix".

Definition: A "cluster mutation" is a way to produce a new seed from a starting seed  $\{(x_1, \dots, x_n), B\}$  and the choice of  $j \in \{1, \dots, n\}$ . We delete  $x_j$  from the cluster variables and add

$$x_j' := x_j^{-1} \left( \prod_{b_{ij} > 0} x_i^{b_{ij}} + \prod_{b_{ij} < 0} x_i^{-b_{ij}} \right)$$

Then, we replace  $B$  with  $B'$  defined by

$$b'_{ik} := \begin{cases} b_{ki} & \text{if } k=j \\ b_{ik} - b_{ij}b_{jk} & \text{if } k \neq j, b_{ij} < 0, b_{jk} < 0 \\ b_{ik} + b_{ij}b_{jk} & \text{if } k \neq j, b_{ij} > 0, b_{jk} > 0. \\ b_{ik} & \text{otherwise.} \end{cases}$$

The exchange  $\{(x_1, \dots, x_n), B\} \mapsto \{(x_1, \dots, x_j', \dots, x_n), B'\}$  is the "cluster mutation".

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Definition: A "cluster algebra"  $R \subseteq F$  is the  $\mathbb{K}$ -algebra generated by all the cluster variables of all seeds that can be obtained by cluster mutations from an initial seed.

Example: Let  $F = \mathbb{K}(x_1, x_2)$ , and consider the initial seed  $\{(x_1, x_2), \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}\}$ . Then, we can compute

$$x_3 := x_1' = \frac{1+x_2}{x_1}, \quad B' = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

$$x_4 := x_2' = \frac{1+x_3}{x_2} = \frac{1 + \left(\frac{1+x_2}{x_1}\right)}{x_2} = \frac{1+x_1+x_2}{x_1 x_2}.$$

$$x_5 := x_3' = \frac{1+x_4}{x_3} = \frac{1 + \left(\frac{1+x_1+x_2}{x_1 x_2}\right)}{\left(\frac{1+x_2}{x_1}\right)} = \frac{x_1+1}{x_2}.$$

We also get  $x_6 := x_4' = x_1$ ,  $x_7 = x_5' = x_2$ , ... cyclically.

Hence, we conclude

$$R = \mathbb{K}\left[x_1, x_2, \frac{1+x_2}{x_1}, \frac{1+x_1+x_2}{x_1 x_2}, \frac{1+x_1}{x_2}\right] \subseteq \mathbb{K}[x_1^{\pm}, x_2^{\pm}].$$

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Theorem (Fomin-Zelevinsky, Laurent Phenomenon):

Let  $R$  be a cluster algebra. Let  $\{(x_1, \dots, x_n), B\}$  be any seed of  $R$ . Then  $R \subseteq \mathbb{K}[x_1^{\pm}, \dots, x_n^{\pm}]$ .

Geometrically: Assume for simplicity  $R$  is f.g. over  $\mathbb{K}$ .

Each inclusion of the LP induce an embedding  $i_j: \mathbb{G}_m^n \hookrightarrow X$ , where  $X = \text{Spec}(R)$ . Furthermore, if we let

$$\Omega_n := \frac{dx_1 \wedge \dots \wedge dx_n}{x_1 \dots x_n}$$

be the so-called volume form of the algebraic torus, then

$(i_1 \circ i_2^{-1})^* \Omega_n = \Omega_n$  holds for any two such torus embeddings  $i_1$  &  $i_2$ .

Furthermore,  $\bigcup_{\text{seeds of } R} i_j(\mathbb{G}_m^n) \subseteq X$  is a "big" open

subset, i.e., its complement is a closed subset of codimension  $\geq 2$ .

Remark: Geometrically cluster varieties, i.e., spectrum of cluster algebras, can be understood as union of algebraic tori such that the gluing functions respect the volume form.

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Definition: A toric variety is a  $n$ -dimensional variety  $X$  that admits an open embedding  $\mathbb{G}_m^n \hookrightarrow X$  such that the action of  $\mathbb{G}_m^n$  on itself extends to an action on  $X$ .

Theorem (Enwright - Figueroa - M, 2024): Let  $X$  be a normal variety. Assume that  $X$  is either affine or projective. Then  $X$  is toric if and only if there exists an embedding  $\mathbb{G}_m^n \xrightarrow{i} X$  such that  $\Omega_n$  has poles along every prime component of  $X \setminus i(\mathbb{G}_m^n)$ .

Remark: By the previous theorem, both; cluster varieties and toric varieties can be understood via the volume form of the torus.

Aim: Define the "smallest" class of  $\mathbb{K}$ -algebras which contains both cluster algebras and semigroup algebras.

From now on,  $M$  will denote a finitely generated free abelian group,  $N$  will denote its dual and  $M_{\mathbb{Q}}, N_{\mathbb{Q}}$  be the corresponding  $\mathbb{Q}$ -vector spaces.

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Definition: An "algebraic mutation datum" is a pair  $(u, h = g^k)$ ,  $k \geq 0$ , where  $u \in N$  is primitive and  $g \in \mathbb{K}[u^\perp \cap M]$ . We say that the algebraic mutation datum is " $\sigma$ -admissible" if  $u \notin \sigma$ .

Definition: Let  $\mu: U_{\sigma_1} \dashrightarrow U_{\sigma_2}$  be a birational map between affine normal toric varieties corresponding to the cones  $\sigma_1, \sigma_2 \subseteq \text{Ma}$ .

We say that  $\mu$  is an "algebraic mutation" if:

1) we have  $\mu^*: \mathbb{K}(M) \xrightarrow{\sim} \mathbb{K}(M)$  is given by

$$\mu^*(x^m) = x^m h^{-\langle u, m \rangle} \text{ for some } \sigma_2\text{-admissible } (u, h), \neq$$

2)  $\mu$  induces a bijection between torus invariant divisors.

Definition: A "mutation semigroup algebra" is a f.g. ring  $R$  over  $\mathbb{K}$ .

that can be written as  $R = R_0 \cap \dots \cap R_n$  such that the following holds for any  $i \in \{0, \dots, n\}$ :

1) there is  $j_i: \mathbb{K}[\sigma_i^\vee \cap M] \hookrightarrow \text{Frac}(R)$  inducing  $\mathbb{K}(M) \cong \text{Frac}(R)$  and with image  $R_i$ ;

2)  $j_0 \circ j_i^{-1}$  is an algebraic mutation; and

3) if  $p \in R_i$  is a prime of height one, then so does  $R \cap p$  in  $R$ .

We abbreviate mutation semigroup algebras by MSA. If

$\sigma_i = \{0\}$  for each  $i$ , then we just call it a mutation algebra.

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Example:  $\mathbb{K}[x, y] \cap \mathbb{K}\left[\frac{y+1}{x}, y\right] \cong \mathbb{K}[x, y, z] / (xz - y - 1)$

is an example of a MSA.

Remark: MSA are rather general compared to cluster algebras. Because of the existence of the cones  $\sigma_i$ , their spectrum may exhibit some toric singularities. Moreover, the mutations are much more general and may be non-irreducible polynomials. Finally, the definition is "seed-free" so the Laurent Phenomenon is not well defined & in some contexts may not hold.

Some problems of interest:

- Ⓐ Are MSA strongly  $F$ -regular?  $F$ -pure?
- Ⓑ Do MSA satisfy the geometric vertex decomposition?
- Ⓒ How to describe symbolic powers of ideals on MSA?

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MSA are deeply connected to Fano geometry.

The connection is established via the following theorems.

Theorem (Enwright - Francone - M - Spink, 2025): Let  $R$  be a finitely generated MSA. Assume  $U = \text{Spec}(R)$  has klt sing. .  
Then, there is a klt Fano compactification  $U \hookrightarrow X$ .

Thus, every MSA "appears" inside some Fano variety.

Conversely, many Fano varieties are compactifications of spectrum of MSA. More precisely, we have:

Theorem (Gross - Hacking - Keel 2006 + Avilov - Lopynov - Przyjalkowski 2023):

A general smooth Fano surface is a compactification of  $\text{Spec}(\text{MSA})$ .

The following is work in progress, and the actual number may increase by the time of publication.

Theorem (Alves da Silva - Figueroa - M, 26):

There are  $\geq 70$  families of smooth Fano threefolds whose general elements is a compactification of  $\text{Spec}(\text{MSA})$ .

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Finally, we discuss a projective version of MSA, the so-called "cluster type varieties".

Definition: We say that a map  $i: U \dashrightarrow X$  is an "embedding in codimension one" if there exists  $Z \subseteq U$  closed of codimension  $\geq 2$  such that  $i|_{U \setminus Z}$  is an embedding.

Definition: A normal variety  $X$  is said to be "cluster type" if there exists an embedding in codimension one  $\mathbb{C}P^n \dashrightarrow X$  such that  $\Omega_n$  has no zeros on  $X$ . Let  $B = \text{poles}(\Omega_n)$ . Then, we call  $B \geq 0$  the "cluster type boundary" and  $(X, B)$  a "cluster type pair".

The following theorem states that the geometric behavior previously observed for spectra of cluster algebras also holds for cluster type var.

Theorem (Corti 2022, EFM 2024): Let  $(X, B)$  be a cluster type pair, then  $X \setminus B$  is covered, up to a closed subset of codimension  $\geq 2$ , by images of embeddings in codimension one  $j_i: \mathbb{C}P^n \dashrightarrow X$ . Furthermore, for any two such maps  $j_1 \times j_2$ , we have  $(j_1 \circ j_2^{-1})^* \Omega_n = \Omega_n$ .

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MSA essentially give natural charts for cluster type varieties.

Theorem (EFMS 25): Let  $R$  be a f.g ring over  $\mathbb{K}$ .

Assume that  $U = \text{Spec}(R)$  has klt singularities. Then, the two following conditions are equivalent:

- 1)  $R$  is a MSA; &
- 2) there exists a cluster type pair  $(X, B)$  &  $A \leq B$  ample such that  $R \cong H^0(X/A, \mathcal{O}_{X/A})$ .

Remark: Spectra of MSA are to cluster type varieties what affine toric varieties are to toric varieties.